Receipt date: 01/25/2007

10538592 - GAU: 1797

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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>( Not for submission under 37 CFR 1.99) | Application Number   |                                      | 19538592   |
|---|----------------------|--------------------------------------|------------|
|   | Filing Date          |                                      | 2003-12-05 |
|   | First Named Inventor | First Named Inventor James A. Faunce |            |
|   | Art Unit             |                                      | •          |
|   | Examiner Name        | Unkne                                | own        |
|   | Attorney Docket Numb | ег                                   | 14154US02  |

| U.S.PATENTS   |                        |                           |                   |              |  |  |  |
|---|------------------------|---------------------------|-------------------|--------------|--|--|--|
| Examiner Cite Initial* Patent Number  |                        | Kind<br>Code <sup>1</sup> |                   |              | Pages, Columns, Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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| If you wish to add additional U.S. Patent citation information please click the Add button. |                        |                           |                   |              |  |  |  |
| U.S.PATENT APPLICATION PUBLICATIONS   |                        |                           |                   |              |  |  |  |

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| INFORMATION | DISCLOSURE  |
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| STATEMENT B | Y APPLICANT |

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|----------------------|------|-------------|----------|-----------|
| Application Number   |      | 19538592    |          |           |
| Filing Date          |      | 2003-12-05  |          |           |
| First Named Inventor | Jame | s A. Faunce |          |           |
| Art Unit             |      |             |          |           |
| Examiner Name        | Unkn | own         | •        |           |
| Attorney Docket Numb | er   | 14154US02   |          |           |

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|--|--|---------------|---------------------------------|---------------------------------------|----------|---|--|---------------------|--|----------------------|----|
| Examiner<br>Initial*   | Cite<br>No   | Publi         | cation Number                   | Kind<br>Code <sup>1</sup>             |          | Name of Patentee or Aportee of cited Document |  |                     | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |                      |    |
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| Examiner<br>Initial*   | Cite<br>No   | Forei<br>Numl | gn Document<br>ber <sup>3</sup> | Country<br>Code <sup>2</sup>          |          | Kind<br>Code4                                 | Publication   Name of Patentee or   where Relevant |                     |  | Passages or Relevant | T5 |
|  | 1  |               |                                 |                                       |          |   |  |                     |  |                      |    |
| If you wisl  | h to a   | dd add        | itional Foreign Pa              | atent Do                              | cument   | citation                                      | information pl                                     | ease click the Add  | buttor   | )                    |    |
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| Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.   |  |               |                                 |                                       |          |   | <b>T</b> 5   |                     |  |                      |    |
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| Examiner Signature Date Considered   |  |               |                                 |                                       |          |   |  |                     |  |                      |    |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  |  |               |                                 |                                       |          |   |  |                     |  |                      |    |
| <sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |  |               |                                 |                                       |          |   |  |                     |  |                      |    |

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| STATEMENT  | BY APPLICANT |

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| First Named Inventor | Jame | s A. Faunce |        |          |
| Art Unit             |      |             |        |          |
| Examiner Name        | Unkn | own         |        |          |
| Attorney Docket Numb | er   | 14154US02   |        |          |

|                                    | CERTIFICATION STATEMENT  |   |                   |            |  |  |  |  |
|------------------------------------|--|---|-------------------|------------|--|--|--|--|
| Plea                               | Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):  |   |                   |            |  |  |  |  |
|                                    | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).  |   |                   |            |  |  |  |  |
| OR                                 | 1  |   |                   |            |  |  |  |  |
|                                    | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).  |   |                   |            |  |  |  |  |
|                                    | See attached cer   | rtification statement.                      |                   |            |  |  |  |  |
|                                    | Fee set forth in 3   | 37 CFR 1.17 (p) has been submitted herewith |                   |            |  |  |  |  |
| ×                                  | None   | SIGNAT                                      | unr               |            |  |  |  |  |
|                                    | SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.   |   |                   |            |  |  |  |  |
| Sigr                               | nature   | /James H. Williams/                         | Date (YYYY-MM-DD) | 2007-01-25 |  |  |  |  |
| Nan                                | Name/Print James H. Williams Registration Number 53,886  |   |                   |            |  |  |  |  |
| pub<br>1.14<br>app<br>requ<br>Pate | This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. <b>SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria</b> , |   |                   |            |  |  |  |  |

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- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.